| SEC For | m 4 FORM 4 | 1 UN | IITI | ED STAT | ES | SECI | JRITI | ES A | ND | EXCHA | NGE | сом | MIS | SION | | | | |
|---|---|---------|--|----------------------------|--|--|-----------------------------------|----------|---|--------------------------------------|--|-----------|---|---|---|---|--|--|
| Section 16 | box if no longe . Form 4 or For may continue. 1(b). | rm 5 | Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNE Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | RSH | IP | OMB N Estima | OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5 | | | |
| 1. Name and Address of Reporting Person* <u>PALAMOUNTAIN MARK</u> | | | | | 2. | 2. Issuer Name and Ticker or Trading Symbol <u>Limoneira CO</u> [LMNR] | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Cofficer (give title Other (specify | | | | |
| | (Fir IONEIRA (MMINGS) | COMPANY | (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) 09/14/2020 | | | | | | | - X below) below) CFO, Treasurer & Secretary | | | | | |
| (Street) SANTA PAULA | SANTA | | | 93060 | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | · . | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| (City) | (City) (State) | | | (Zip) | | | | | | | | | | | | | | |
| | | Table | I - I | Non-Deriva | ative | Secur | ities A | cquir | ed, C | Disposed (| of, or l | Benefi | cially | Owne | d | | | |
| 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Yo | | | | . | ar) 2A. Deemed Execution Date if any (Month/Day/Ye | | 3. Transact Code (In: 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and | | | Beneficia | | ies cially Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | | |
| | | | | | | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (instr. 4) | (Instr. 4) | | |
| Common Stock 09/14/2 | | | | 09/14/202 | .0 | | S | | | 700 | D | \$15.7 | 118(1) | 60 |),740 | D | | |
| Common Stock 09/14/202 | | | | | 20 |) | | S | | 2,063 | D | \$15.8 | 127 ⁽²⁾ | 58 | 8,677 | D | | |
| | | Ta | ble | II - Derivati (e.g., pu | | | | | | sposed of s, convert | | | |)wned | l | | | |
| 1. Title of Derivative Security (Instr. 3) | ative Conversion Date Exe ity or Exercise (Month/Day/Year) if ar | | Deemed ccution Date, ny nth/Day/Year) | | isaction e (Instr. | | | oiration | kercisable and n Date ay/Year) | Amo Secu Unde Deriv Secu | 7. Title and Amount of Securities Underlying Derivative Security (Instr 3 and 4) | | vative urity tr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transactior (Instr. 4) | Ownershi Form: Direct (D) or Indirect (I) (Instr. 4 | Beneficial Ownership (Instr. 4) | | |

Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$15.70 to \$15.75, inclusive. Full information regarding the number of shares purchased at each separate price will be provided upon request by the SEC staff, the issuer, or any security holder of the issuer.

(A) (D)

Date Exercisable Expiration Date

2. The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$15.80 to \$15.8201, inclusive. Full information regarding the number of shares purchased at each separate price will be provided upon request by the SEC staff, the issuer, or any security holder of the issuer.

/s/ Mark Palamountain, by

or Number

of Shares

Title

Amy Fukutomi as attorney-in- 09/16/2020 fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.