SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
Estimated average	burden
hours per response:	: 0.5

	ox if no longer subject	STA	TEMEN	t of	CHANGE	s in i	BEN	IEFICIAL	_ OW	NERS	HIP		Number: ated average bure	3235-0287
	6. Form 4 or Form 5 nay continue. <i>See</i> (b).		Filed p		nt to Section 16(a) ction 30(h) of the In					934		1	per response:	0.5
1. Name and Address of Reporting Person [*] Rudkin Donald R				2. Issuer Name and Ticker or Trading Symbol Limoneira CO [LMNR]							ationship of R k all applicabl Director Officer (giv	le)	ng Person(s) to 10% C Other	
	(First) EIRA COMPANY IINGS ROAD	(Middle)			e of Earliest Transa 5/2021	action (N	/lonth/	Day/Year)			below)		below	
,				4. If Ai	mendment, Date of	f Origina	l Filed	l (Month/Day/`	Year)	6. Indi Line)	vidual or Join	t/Grou	p Filing (Check	Applicable
(Street) SANTA PAULA	CA	93060								X		,	e Reporting Per re than One Re _l	
(City)	(State)	(Zip)												
	Tal	ble I - No	n-Derivat	ive S	ecurities Acq	uired,	Disp	posed of, o	or Ber	neficially	v Owned			
1. Title of Secu	rity (Instr. 3)		2. Transact Date (Month/Day		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)			5. Amount o Securities Beneficially Owned Follo Reported	curities eneficially wned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
						Code	v	Amount	(A) or (D)	Price	Transaction (Instr. 3 and			(1150.4)
Common Stor	ck		01/26/2	021		A		2,629(1)	A	\$ <mark>0</mark>	14,27	7	D	
		Table II -	Derivativ	ve Se	curities Acaui	ired. C	Dispo	osed of. or	Bene	ficially	Owned			

(e.g., puts, calls, warrants, options, convertible securities)

			(= 5 / 1=	,	,		,	, -				,			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Nu of Deriv Secu Acqu (A) of Dispo of (D) (Instri and 5	vative rities ired r osed) . 3, 4	6. Date Exerc Expiration Da (Month/Day/\	ate	Deriv	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Reflects the granting of a stock award pursuant to the Limoneira Company Amended and Restated 2010 Omnibus Incentive Plan, as amended, as approved by the shareholders.

<u>/s/ Donald R. Rudkin, by</u>	
<u>Mark Palamountain and Greg</u>	01/27/2021
Hamm as attorneys-in-fact	

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

I, Donald R. Rudkin, Director of Limoneira Company (the "Corporation"), hereby authorize and designate each of Mark Palamountain and Greg Hamm as my agent and attorney-in-fact, with full power of substitution to:

(1) prepare and sign on my behalf any Form 3, Form 4 or Form 5 under Section 16 of the Securities Exchange Act of 1934, as amended, and file the same with the Securities and Exchange Commission and each stock exchange on which the securities of the Corporation are listed;

(2) prepare and sign on my behalf any Form 144 Notice under the Securities Act of 1933, as amended, and file the same with the Securities and Exchange Commission; and

(3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted.

The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Corporation assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934, as amended, or Section 5 of the Securities Act of 1933, as amended, or Rule 144 promulgated under such Act. This Power of Attorney shall remain in effect until the undersigned is no longer required to file Forms 3, 4, 5 and 144 with respect to the undersigned's holdings of and transactions in securities issued by the Corporation, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

Dated: November 3, 2020

/s/ Donald R. Rudkin Donald R. Rudkin